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# The prognostic value of TP53 mutations in oesophageal adenocarcinoma: a systematic review and meta-analysis

Oliver M Fisher,<sup>1</sup> Sarah J Lord,<sup>1,2,3</sup> Dan Falkenback,<sup>1,4</sup> Nicholas J Clemons,<sup>5,6</sup> Guy D Eslick,<sup>7</sup> Reginald V Lord<sup>1,8</sup>

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For numbered affiliations see end of article.

## Correspondence to

Dr Oliver M Fisher,  
Gastroesophageal Cancer  
Research Group, St Vincent's  
Centre for Applied Medical  
Research, 405 Liverpool Street,  
Sydney NSW 2010, Australia;  
[ofisher@gmx.ch](mailto:ofisher@gmx.ch)

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## ABSTRACT

**Objective** To clarify the prognostic role of tumour protein 53 (TP53) mutations in patients with oesophageal adenocarcinoma (OAC) as there is a need for biomarkers that assist in guiding management for patients with OAC.

**Design** A systematic review was conducted using MEDLINE, Embase, PubMed and Current Contents Connect to identify studies published between January 1990 and February 2015 of oesophageal cancer populations (with OAC diagnoses >50% of cases) that measured tumoural TP53 status and reported hazard ratios (HR), or adequate data for estimation of HR for survival for TP53-defined subgroups. Risk of bias for HR estimates was assessed using prespecified criteria for the appraisal of relevant domains as defined by the Cochrane Prognosis Methods Group including adherence to Grading of Recommendations, Assessment, Development and Evaluation and REporting recommendations for tumor MARKer prognostic studies guidelines, as well as assay method used (direct TP53 mutation assessment vs immunohistochemistry) and adjustment for standard prognostic factors. A pooled HR and 95% CI were calculated using a random-effects model.

**Results** Sixteen eligible studies (11 with OAC only and 5 mixed histology cohorts) including 888 patients were identified. TP53 mutations were associated with reduced survival (HR 1.48, 95% CI 1.16 to 1.90,  $I^2=33%$ ). A greater prognostic effect was observed in a sensitivity analysis of those studies that reported survival for OAC-only cohorts and were assessed at low risk of bias (HR 2.11, 95% CI 1.35 to 3.31,  $I^2=0%$ ).

**Conclusions** Patients with OAC and TP53 gene mutations have reduced overall survival compared with patients without these mutations, and this effect is independent of tumour stage.

## INTRODUCTION

The incidence of oesophageal adenocarcinoma (OAC) has increased faster than any other cancer since the 1970s in many Western countries with highest incidence rates found in Northern and Western Europe, Northern America and Oceania,<sup>1-4</sup> with a greater than sixfold increase during the past three decades.<sup>1-5</sup> Population-based studies have observed consistent changes across different age and tumour stage groups, indicating that the rise of OAC incidence is a true increase and not an artefact of enhanced surveillance programmes.<sup>1-6</sup> Possible explanations for this increase include the increasing

## Significance of this study

### What is already known on this subject?

- Oesophageal adenocarcinoma (OAC) remains one of the few GI malignancies where molecular information is not taken into account to guide patient management.
- Current clinicopathological staging fails to accurately identify patients with OAC with good or poor prognosis.
- Recent genomic findings have identified a high tumour protein 53 (TP53) mutation rate in OAC, but the prognostic impact of this remains unclear due to conflicting reports in the literature.

### What are the new findings?

- This is the first dedicated systematic review and meta-analysis regarding the prognostic impact of TP53 mutations in patients with OAC including 16 studies with >850 patients.
- We identify a significant negative prognostic impact of TP53 mutations on overall survival of patients with OAC.
- A greater prognostic effect can be corroborated in studies that report tumour-stage adjusted survival for OAC-only cohorts and are assessed at being at low risk of bias.

### How might it impact on clinical practice in the foreseeable future?

- This study suggests that TP53 gene mutations have a clinically important negative prognostic impact on patients with OAC.
- In light of recent genomic findings highlighting a central role of this gene in OAC pathogenesis and drugs currently in development and testing that directly target this gene, a role for identifying TP53 mutations in OAC can be suggested.

prevalence of obesity and Barrett's oesophagus (BO),<sup>7</sup> well-recognised risk factors for OAC.

Fewer than half of the patients with a new diagnosis of OAC are eligible for curative treatment, and OAC continues to have one of the highest cancer case-fatality rates with population-based 5-year survival rates typically around 15%.<sup>8-9</sup> For those patients referred to curative treatment,



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usually by neoadjuvant chemotherapy or chemoradiotherapy (CRTx) followed by oesophagectomy, 5-year survival rates are still generally <45%.<sup>10</sup> In contrast to other malignancies, such as breast and colon cancer, where the incorporation of molecular information has become part of routine practice for therapeutic stratification,<sup>11</sup> current treatment algorithms for OAC still depend on only imaging and histological assessments to determine disease stage and grade to guide treatment and help classify prognosis.

The tumour-suppressor gene tumour protein 53 (TP53) (National Centre for Biotechnology Information gene ID: 7157), which encodes the p53 protein and is sometimes called 'the guardian of the genome',<sup>12</sup> is one of the most frequently mutated and studied genes in human cancers.<sup>13</sup> The p53 protein plays multiple functions in regulating cell cycle progression and apoptosis, autophagy, differentiation and senescence, as well as DNA repair functions, and also exerts effects on cell metabolic pathways and cytokines.<sup>14</sup> Substantial efforts have been made to study the effect of TP53 mutations on prognosis for patients with cancer. Furthermore, as most chemotherapeutic agents act by inducing DNA damage,<sup>15–17</sup> the predictive effect of TP53 gene mutations on therapeutic response has also been explored.<sup>18</sup>

Recent large-scale whole-genome and whole-exome sequencing studies have shown that both OAC and dysplastic BO harbour a very high TP53 mutation rate of up to 70%,<sup>19–21</sup> indicating a central role for this gene in OAC pathogenesis. This finding raises the question of whether the 30% of patients harbouring wild-type TP53 may thus have a different underlying tumour biology that may impact patient outcome.

We aimed to resolve the existing uncertainty regarding the prognostic value of TP53 for staging OAC by conducting a systematic review and meta-analysis of all published data with subgroup analysis of studies assessed as low risk of bias, and studies using direct TP53 gene mutation analysis techniques to determine TP53 mutation status, since these are the most accurate methods for determining tumoural TP53 mutations.

## MATERIALS AND METHODS

### Search strategy and study eligibility criteria

The electronic bibliographic databases MEDLINE, Embase, PubMed and Current Contents Connect were searched to identify eligible studies published between January 1990 and 8 February 2015 using MeSH terms and text words for adenocarcinoma\*, o/oesophagus\* or o/oesophageal\*, TP53\* or p53\* or 17p13\* or 17p\*. In an attempt to minimize the risk of publication bias, conference abstracts and proceedings were searched through Web of Science, Embase and Scopus using the terms o/oesophagus\* and p53\*. Further, the following major GI and oncological conferences were searched for relevant reports: Digestive Disease Week (DDW; by screening the DDW website and supplementary material of the journal *Gastroenterology*), American Society for Clinical Oncology (ASCO; by searching the ASCO library and *Journal of Clinical Oncology* supplementary material) and American Association for Cancer Research (AACR; searched through their webportal of all AACR conference proceedings) from 1990 to 2015.

Two reviewers (OMF and DF) scanned the search results (title and abstract) and retrieved full text publications using the criteria outlined below to identify eligible studies. Reference lists of relevant studies identified from the search including reviews were further screened to identify studies that may not have been identified by the strategy outlined above.

Study inclusion criteria were prospective or retrospective clinical studies of OAC populations that assessed TP53 mutation status and/or p53 expression in primary tumours, and compared overall survival for TP53 mutation versus TP53 non-mutation subgroups with calculation of HR and 95% CIs, or reported adequate data for their estimation. To include all available data, we also included studies of oesophageal cancer cohorts that included patients with squamous cell carcinoma if at least ≥50% of the patient cohort had a diagnosis of OAC.

Study exclusion criteria were studies of TP53 DNA germline mutations or autoantibody detection in blood; and reports available in abstract form only that did not report adequate information to determine study eligibility or to assess study methods for risk of bias.

If studies did not report sufficient data to calculate HRs or in case of missing/unclear data, the corresponding author was contacted by email to request this information. If the same research unit (identified from author names and institution) published multiple reports with overlapping patient recruitment time periods, HR estimates were extracted from the most recent publication with the largest patient numbers to avoid duplication of data.

### Data extraction

Three investigators (OMF, DF and NJC) reviewed eligible studies and extracted the following variables into a standardised data extraction form: author's name; publication year; country where study was conducted; number of patients included and general patient demographics; tumour histology (number and proportion of OAC tumours included); treatment modality (surgery alone, neoadjuvant or adjuvant CRTx followed by surgery); tissue specimen type (surgical specimen vs endoscopic biopsy); TP53 assay methods (TP53 gene sequencing, single-strand confirmation polymorphism (SSCP), immunohistochemistry (IHC), and type of antibody, dilution for IHC); criteria or cut-point used to define TP53 mutation status for the survival analysis; study prevalence of TP53 'mutation' and 'non-mutation' subgroups; median survival of all patients and by TP53 mutation status; unadjusted and adjusted HR with 95% CI and corresponding p values where available. For consistency and to facilitate further quantitative analyses, the authors' definitions for TP53 'mutations' were used for studies performing only IHC as the respective studies did not use uniform staining classification criteria. As such, nuclear p53 protein overexpression was interpreted to represent TP53 mutations by all authors of the included studies, although loss of p53 protein expression has also been associated with tumoural TP53 gene mutations.<sup>22–23</sup> This staining pattern was not reported and/or interpreted in such a manner in any of the included studies.

### Risk of bias assessment, subgroup and sensitivity analyses

All studies were assessed for risk of bias for the study estimate of the impact of TP53 on survival by appraising six domains (study participation, biomarker measurement, outcome measurement, confounding measurement and account, participant attrition, analysis method) using prespecified criteria adapted from the Grading of Recommendations, Assessment, Development and Evaluation (<http://www.gradeworkinggroup.org>),<sup>24</sup> REporting recommendations for tumor MARKer prognostic studies (REMARK)<sup>25</sup> and from Hayden *et al*'s<sup>26</sup> (Cochrane Prognosis Methods Group) guidelines for quality appraisal for prognostic studies. Risk of bias for each domain was graded as high, low or unclear based on assessment of each criterion. The overall risk of bias for the study was assessed as high if one or

more of the domains was assessed as high risk of bias as recommended by the Cochrane Collaboration.<sup>27</sup>

Assessment of the risk of bias for different methods of assessing TP53 mutation status was informed by data regarding the analytical validity of different methods reported in the International Agency for Research on Cancer (IARC) TP53 mutation database (R17)<sup>13</sup> (as summarised in online supplementary file 1). Studies performing TP53 gene sequencing or direct assessment of TP53 gene mutations were assessed as being at low risk of biomarker measurement bias compared with studies performing only IHC analysis, based on estimates from the IARC TP53 mutation database that approximately 27% of all TP53 mutations stain as false negatives in OAC using IHC (see online supplementary figure S2). Studies that did not adjust for tumour stage to assess the independent impact of TP53 mutation status on patient survival were classified as high risk of bias.

### Statistical analysis

To estimate the effect of TP53 mutation status on OAC survival, we calculated a pooled HR and 95% CI using the generic inverse variance method. If the HR was not reported, it was estimated from the corresponding Kaplan–Meier curves using the Parmar method.<sup>28 29</sup> If the SE was not reported, it was estimated from the 95% CI.

Because different TP53 mutation analysis methods were used across studies, we expected heterogeneity in study estimates of the TP53 mutation effect on survival, and thus we applied a random-effects model to estimate the HR.<sup>30</sup> Heterogeneity was tested using Cochran's Q statistic, with  $p < 0.1$  indicating heterogeneity. The degree of heterogeneity was quantified using the  $I^2$  statistic.<sup>31</sup> Meta-regression was performed to inspect possible sources of interstudy heterogeneity.<sup>32</sup> Study level factors that may modify the prognostic effect of TP53 were included as covariates if they were present in  $\geq 10$  of the included studies.<sup>27</sup>

Sensitivity analysis was performed to assess the impact of tumour histology and assay type on survival by repeating the pooled HR analysis in the following subgroups: (i) OAC-only versus OAC-mixed study populations; (ii) IHC versus direct TP53 gene mutation analyses; and (iii) studies assessed as having low versus high risk of bias. Differences between subgroups were assessed with a test for interaction.<sup>33</sup> In order to estimate the prognostic value of TP53 independent of stage, a sensitivity analysis was also performed of studies reporting HR adjusted by stage. Publication bias was quantified using the Egger's regression model and visualised using funnel plot analyses.<sup>34</sup>

Descriptive statistics as well as quantitative analysis of the IARC TP53 mutation database to guide risk of bias assessment were performed using R statistical software<sup>35</sup> and the *ggplot2* package.<sup>36</sup> Meta-analyses of HR estimates were performed using Review Manager (RevMan) V5.2 software (Copenhagen: The Nordic Cochrane Centre, The Cochrane Collaboration, 2012) with meta-regression performed using the packages *metafor* and *forestplot* for R.<sup>37 38</sup>

## RESULTS

### Study characteristics

The search strategy yielded 323 studies, of which 16 met our eligibility criteria (figure 1). Study characteristics are summarised in table 1. Eleven studies included pure OAC cohorts<sup>39–49</sup> and five studies included mixed histological cohorts,<sup>50–54</sup> in which the percentage of OAC cases in the study data ranged from 56%<sup>54</sup> to 81%.<sup>53</sup> Overall, the 16 studies totalled 1211 patients including 986 OAC, with survival data reported for 888 patients. The number of patients with survival data in each

study ranged from 16 to 142 (median 50) with a median number of OAC tumours of 53 (range 20–142) per study.

Half of the studies (n=8) assessed TP53 mutation status by IHC,<sup>39 40 44 46–48 51 53</sup> one study assessed TP53 mutations through 17p/17p.13 loss of heterozygosity (LOH),<sup>41</sup> one through SSCP<sup>49</sup> and the remaining six studies<sup>42 43 45 50 52 54</sup> performed TP53 gene sequencing to determine the presence of mutations. Using these methods, a median of 55% (range 33–79%) of all tumours and 57% of all OACs (range 33–79%) were classified as harbouring TP53 mutations.

The clinicopathological variables and survival times reported in the included studies are summarised in online supplementary table S1. Briefly, 10 studies provided information on pathological T-stage,<sup>39 42 44–47 50 51 53 54</sup> 13 studies on pathological N-stage,<sup>39 40 42 44–51 53 54</sup> 8 studies on metastatic status<sup>42 44 46 47 49 50 53 54</sup> and 10 described the final tumour differentiation grade.<sup>39 42 43 45 47–50 52 54</sup> Approximately half the studies reported an overall staging of patients according to Union for International Cancer Control (UICC) or American Joint Committee on Cancer (AJCC) criteria (n=9),<sup>39 41 43–47 50 51</sup> and 5 studies described resection margin status.<sup>43 45 47 51 54</sup> In the 14 studies<sup>39–42 45–51 53 54</sup> that reported survival time data for biomarker-defined patient subgroups, the median survival time for patients assessed as having mutated TP53 was 18.9 months (n=488) compared with 26.2 months for patients with non-mutated TP53 (n=423).

HRs were reported in nine studies and extrapolated from five studies. In addition, individual patient data were available for two studies to calculate tumour stage-adjusted HR and 95% CIs<sup>42 44</sup> (table 1). One of these studies<sup>42</sup> included six subcardia cancers and had complete survival information on 22 patients, all with OAC cancers. One of the patients in this study was excluded from the survival analysis due to an early, post-operative mortality.<sup>42</sup> The other study<sup>44</sup> included survival data for all 60 patients with OAC.

Four studies<sup>41–43 54</sup> were assessed as being at a low risk of bias, and 12<sup>39 40 44–53</sup> studies were assessed as being at high risk of bias (table 2). Funnel plot analyses did not reveal substantial publication bias (see online supplementary figure S3).

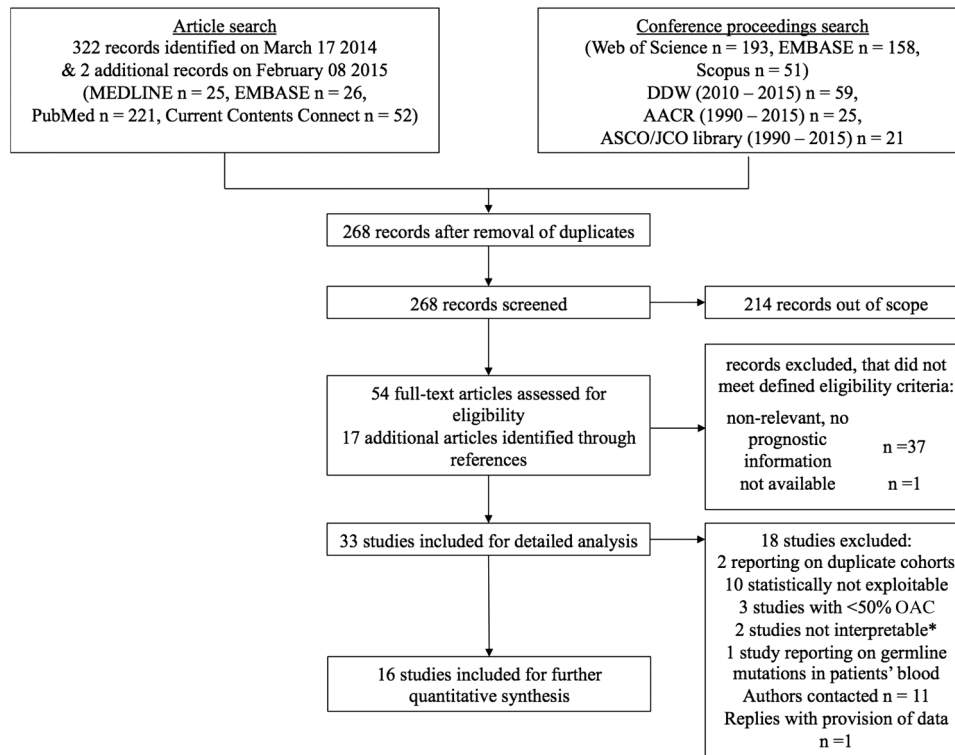
### Overall analyses

The meta-analysis of data from all 16 included studies showed that TP53 mutation is associated with a statistically significant negative effect on patient overall survival with an HR 1.48 (95% CI 1.16 to 1.90,  $p = 0.002$ , n=888 patients; figure 2) with low-moderate heterogeneity across studies that was not statistically significant ( $I^2 = 33%$ ,  $p$  heterogeneity=0.1). The analysis of studies including pure OAC patient cohorts showed similar results with low heterogeneity (HR 1.46, 95% CI 1.17 to 1.83,  $p = 0.0009$ , n=11 studies and 644 patients,  $I^2 = 0%$ ,  $p$  for heterogeneity =0.53,  $p$  for interaction=0.78; figure 3).

### Subgroup and sensitivity analyses

The effect of TP53 mutation status on survival appeared to be smaller among studies performing IHC (pooled HR 1.28, 95% CI 0.95 to 1.73,  $p = 0.10$ , 8 studies, 417 patients,  $I^2 = 0%$ ) compared with studies performing direct TP53 gene assessments (sequencing and SSCP) or LOH analyses (HR 1.68, 95% CI 1.14 to 2.47,  $p = 0.009$ , 8 studies, 471 patients,  $I^2 = 50%$ , figure 4A). However, this difference was not statistically significant ( $p$  for interaction=0.28). This finding was similar in studies including OAC only cohorts (figure 4B).

The effect of mutant TP53 on patient overall survival was larger in studies that had adjusted their analyses for tumour



**Figure 1** Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) flow chart of study identification process. \*One study provided sufficient information for statistical extraction; however, interpretation of data was not possible due to inconsistent definition of protein 53 (p53) mutation status in survival analysis, in as much that p53 positive denoted a change from p53 positivity pre-radiochemotherapy to p53 negative post-chemoradiotherapy. One study only presented final survival data on loss of heterozygosity at chromosome 17q, which does not contain the tumour protein 53 (TP53) gene. AACR, American Association for Cancer Research; ASCO, American Society for Clinical Oncology; DDW, Digestive Disease Week; JCO, Journal of Clinical Oncology.

stage (HR 1.95, 95% CI 1.41 to 2.66,  $p \leq 0.0001$ , 7 studies, 430 patients,  $I^2 = 0\%$ ; see online supplementary figure S4) compared with the estimates from studies that reported unadjusted risk estimates (HR 1.22, 95% CI 0.88 to 1.70,  $p = 0.24$ , 9 studies, 458 patients,  $I^2 = 38\%$ ). This difference was borderline statistically significant ( $p$  for interaction = 0.05). A similar effect was seen in the subset of studies containing pure OAC cohorts (see online supplementary figure S4).

The prognostic effect of TP53 mutations was also significantly larger in the subset of four studies assessed as low risk of bias (HR 2.29, 95% CI 1.50 to 3.48,  $p = 0.0001$ , 197 patients,  $I^2 = 0\%$ ; figure 5A), compared with those assessed as high risk of bias (HR 1.29, 95% CI 0.98 to 1.70,  $p = 0.07$ , 691 patients,  $I^2 = 30\%$ ,  $p$  for interaction = 0.03). This effect size was similar in the three studies with low risk of bias that contained pure OAC cohorts (HR 2.11, 95% CI 1.35 to 3.31,  $p = 0.001$ ,  $n = 161$  patients,  $I^2 = 0\%$ ; figure 5B).

### Exploratory subgroup and sensitivity analyses

Subgroup analysis of studies that determined TP53 status using gene sequencing also showed a negative prognostic impact of mutant TP53 on patient survival (HR 1.80, 95% CI 1.05 to 3.08,  $p = 0.02$ , 6 studies, 330 patients,  $I^2 = 62\%$ ;  $p$  for heterogeneity = 0.02, see online supplementary figure S5A); however, significant interstudy heterogeneity was noted. Sensitivity analysis indicated that this was driven by the study by Gibson *et al*<sup>52</sup>—the only study with an HR < 1. Removing this study provided an HR of 1.95 (95% CI 1.44 to 2.65,  $p < 0.0001$ ; 5 studies, 284 patients,  $I^2 = 0\%$ ; see online supplementary figure S5B). Findings were consistent when the same subgroup analysis

was performed for only those TP53 gene sequencing studies including pure OAC cohorts (HR 1.76, 95% CI 1.26 to 2.47;  $p = 0.0009$ , 3 studies, 213 patients,  $I^2 = 0\%$ ; see online supplementary figure S5C). A summary of the results of other exploratory subgroup analyses can be found in online supplementary table S2.

### Metaregression: potential sources of interstudy heterogeneity

Of the 14 inspected study covariates, only the adjustment of HR estimates for standard prognostic variables (change log HR 0.46, 95% CI 0.01 to 0.91;  $p = 0.04$ ) and the study appraised as being at low risk of bias (change log HR 0.58, 95% CI 0.06 to 1.10;  $p = 0.03$ ) were significant sources of heterogeneity (see online supplementary figure S6).

### DISCUSSION

This study indicates that mutated TP53 negatively impacts overall survival in patients with OAC, independent of tumour stage. This effect is estimated as a relative increase in hazard of death of 48%, with up to a 211% increase when studies of mixed histology cohorts or high risk of bias are excluded. This corresponds to a reduced survival time of approximately 7 months based on median survival from the included studies. This effect size is similar to the difference in median survival of stage IIIA compared with stage IIIC OAC.<sup>55</sup>

To our knowledge, this is the first comprehensive systematic review and meta-analysis of the prognostic impact of TP53 mutations in patients with OAC that includes an assessment independent of tumour stage. Two previous systematic reviews



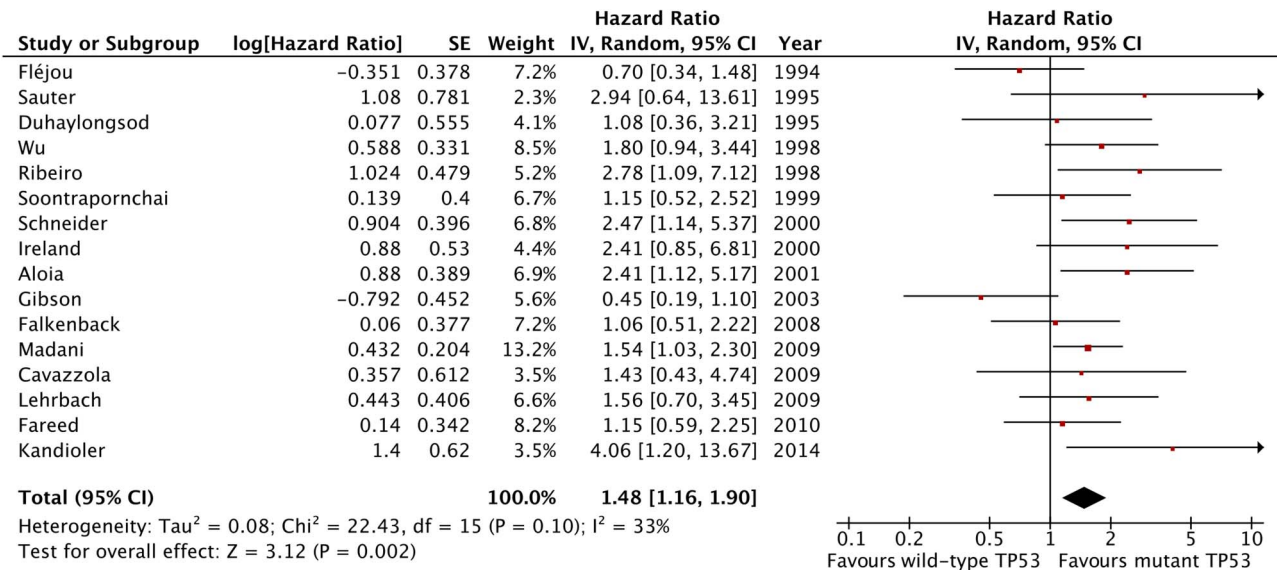
**Table 1** Baseline characteristics of included studies

Author	Year	Country	N	N in survival analysis	Number of patients with OAC (% total)	Only curative surgery in survival analysis?	Specimen type	CRTx?	Neoadjuvant CRTx?	Analysis method	IHC antibody	Dilution	Percent 'mutated'	HR estimation method	Multivariable analysis performed and reported in original paper?
Fléjou <sup>39</sup>	1993	France	62	62	62 (100)	Yes	Surgery	NR	NR	IHC	DO7 (Dako) and PAb1801	NR	66	Extrapolated	No
Duhaylongsod <sup>40</sup>	1995	USA	42	40	42 (100)	Yes	Surgery	Yes	Yes	IHC	PAb1801 (Oncogene Science)	NR	79	Extrapolated	No
Sauter <sup>48</sup>	1995	USA	24	16	24 (100)	Yes	Biopsies	Yes	Yes	IHC	PAb1801 (Oncogene Science)	1 µg/mL (?)	50	Extrapolated	No
Wu <sup>41</sup>	1998	USA	92	90	92 (100)	Yes	Surgery	Yes	Yes	LOH+IHC	DO7 (Dako)	100	57	Reported in text	Yes
Ribeiro <sup>50</sup>	1998	USA	42	35	31 (74)	Yes	Surgery	Yes	Yes	Sequencing	–	–	40	Reported in text, 95% CIs extrapolated	Yes
Soontrapornchai <sup>49</sup>	1999	Australia	135	51	135 (100)	No	Biopsies	Yes	Yes	SSCP	–	–	36	Reported in text	No
Schneider <sup>43</sup>	2000	Germany	59	49	59 (100)	Yes	Biopsies	Yes	No	Sequencing	–	–	44	Reported in text	Yes
Ireland <sup>42</sup>	2000	USA	37	22	37 (100)	Yes	N/A	No	No	Sequencing	–	–	49	Calculated from raw data	No
Aloia <sup>51</sup>	2001	USA	61	61	44 (72)	Yes	Surgery	No	No	IHC	#1801 (Biogenex)	200	67	Reported in text, 95% CIs extrapolated	Yes
Gibson <sup>52</sup>	2003	USA	54	46	41 (76)	Yes	Biopsies	Yes	Yes	Sequencing	–	–	63	Reported in text	Yes
Falkenback <sup>44</sup>	2008	Sweden	54	54	54 (100)	Yes	Surgery	No	No	IHC	DO7	300	60	Calculated from raw data	No
Madani <sup>45</sup>	2009	Canada	142	142	142 (100)	Yes	Surgery	No	No	Sequencing +IHC	DO7 (Dako)	50	47	Reported in text	Yes
Cavazzola <sup>47</sup>	2009	Brazil	46	38	46 (100)	Yes	Surgery	No	No	IHC	DO7 (PAb1801 Sigma)	100	52	Reported in text	Yes
Lehrbach <sup>46</sup>	2009	Brazil	75	75	75 (100)	Yes	Surgery	No	No	IHC	DO7 (Novocastra)	NR	60	Extrapolated	No
Fareed <sup>53</sup>	2010	UK	245	66	83 (81)	Yes	Surgery	Yes	Yes	IHC	DO7? (Vector Labs)	50	30	Extrapolated	No
Kandioler <sup>54</sup>	2014	Austria	36	36	20 (56)	No	Biopsies	Yes	Yes	Sequencing	–	–	50	Reported in text	Yes

CRTx, chemoradiotherapy; IHC, immunohistochemistry; LOH, loss of heterozygosity; NR, not reported; OAC, oesophageal adenocarcinoma; SSCP, single-strand confirmation polymorphism.

Table 2 Risk of bias assessment

Reference	Patient inclusion/exclusion criteria clearly defined	Patient treatment clearly characterised	Specimen characteristics	Adequate detection method of TP53 mutation	Study design	Study/statistical methods	Presentation (explanation of dropouts, number of events)	Reporting of basic demographic characteristics	Comparison of marker to standard prognostic variable	Univariate and/or time-to-event data presentation	Multivariable analysis adjusting for standard prognostic factors and adequate reporting hereof	Other potential sources of bias	Risk of bias
Fléjou <i>et al</i> <sup>39</sup>	Low	Low	Low	High	Low	Low	Low	Low	Low	Low	High	Low	High
Duhaylongsod <i>et al</i> <sup>40</sup>	Low	Low	Unclear	High	Low	High	Low	High	High	Low	High	Low	High
Sauter <i>et al</i> <sup>48</sup>	Low	Low	Unclear	High	Low	Unclear	Low	Unclear	Unclear	Low	High	High	High
Ribeiro <i>et al</i> <sup>50</sup>	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	High	Unclear	High
Soontrapornchai <i>et al</i> <sup>49</sup>	Low	Low	Low	Low		Unclear	Low	Low	Low	Low	Low	High	Low
High													
Wu <i>et al</i> <sup>41</sup>	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low
Ireland <i>et al</i> <sup>42</sup>	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low
Schneider <i>et al</i> <sup>43</sup>	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low
Aloia <i>et al</i> <sup>51</sup>	Low	Low	Low	High	Low	Low	Low	Low	Low	Low	Unclear	Low	High
Gibson <i>et al</i> <sup>52</sup>	Low	Low	Low	Low	Low	Low	Low	Low	High	Low	High	High	High
Falkenback <i>et al</i> <sup>44</sup>	Low	Low	Low	High	Low	Low	Low	Low	Low	Low	Low	Low	High
Cavazzola <i>et al</i> <sup>47</sup>	Low	Low	Low	High	Low	Low	Low	Low	Low	Low	Low	Low	High
Lehrbach <i>et al</i> <sup>46</sup>	Low	Low	Low	High	Low	Low	Low	Low	Low	Low	High	Low	High
Madani <i>et al</i> <sup>45</sup>	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	High	Unclear	High
Fareed <i>et al</i> <sup>53</sup>	Low	Low	Unclear	High	Low	Low	Low	Low	Low	Low	High	Low	High
Kandioler <i>et al</i> <sup>54</sup>	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low	Low



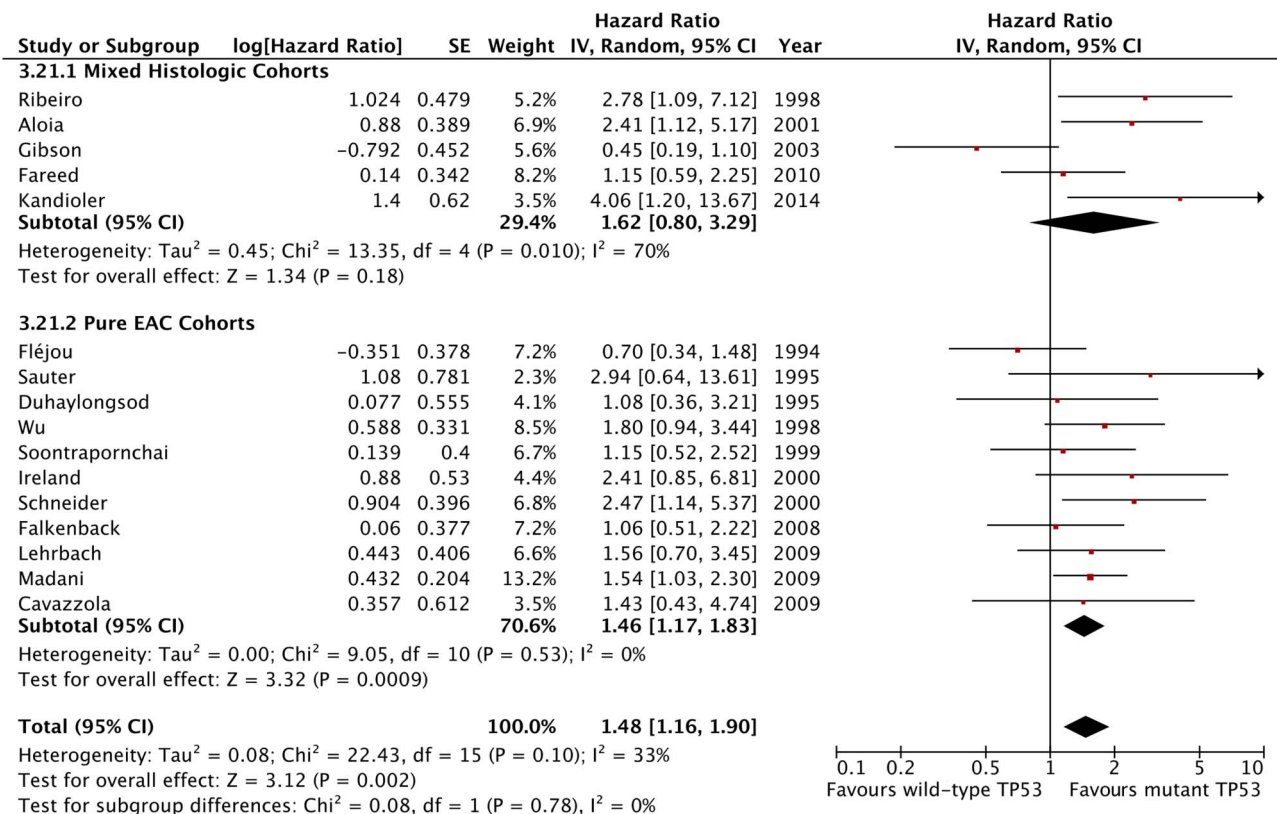
**Figure 2** Forest plot of the effect of tumour protein 53 (TP53) mutation status on survival, all 16 included studies.

and meta-analyses of various prognostic biomarkers in OAC have included an analysis of TP53 mutations.<sup>56, 57</sup> Both studies reported similar significant negative effect estimates on patient survival with two<sup>57</sup> and five<sup>56</sup> primary studies in their respective analyses, but did not consider potential confounders such as tumour stage or TP53 mutation analysis methods. As such, with 16 studies and >800 patients, our study is the largest and most extensive analysis of the effect of TP53 mutations on OAC patient survival.

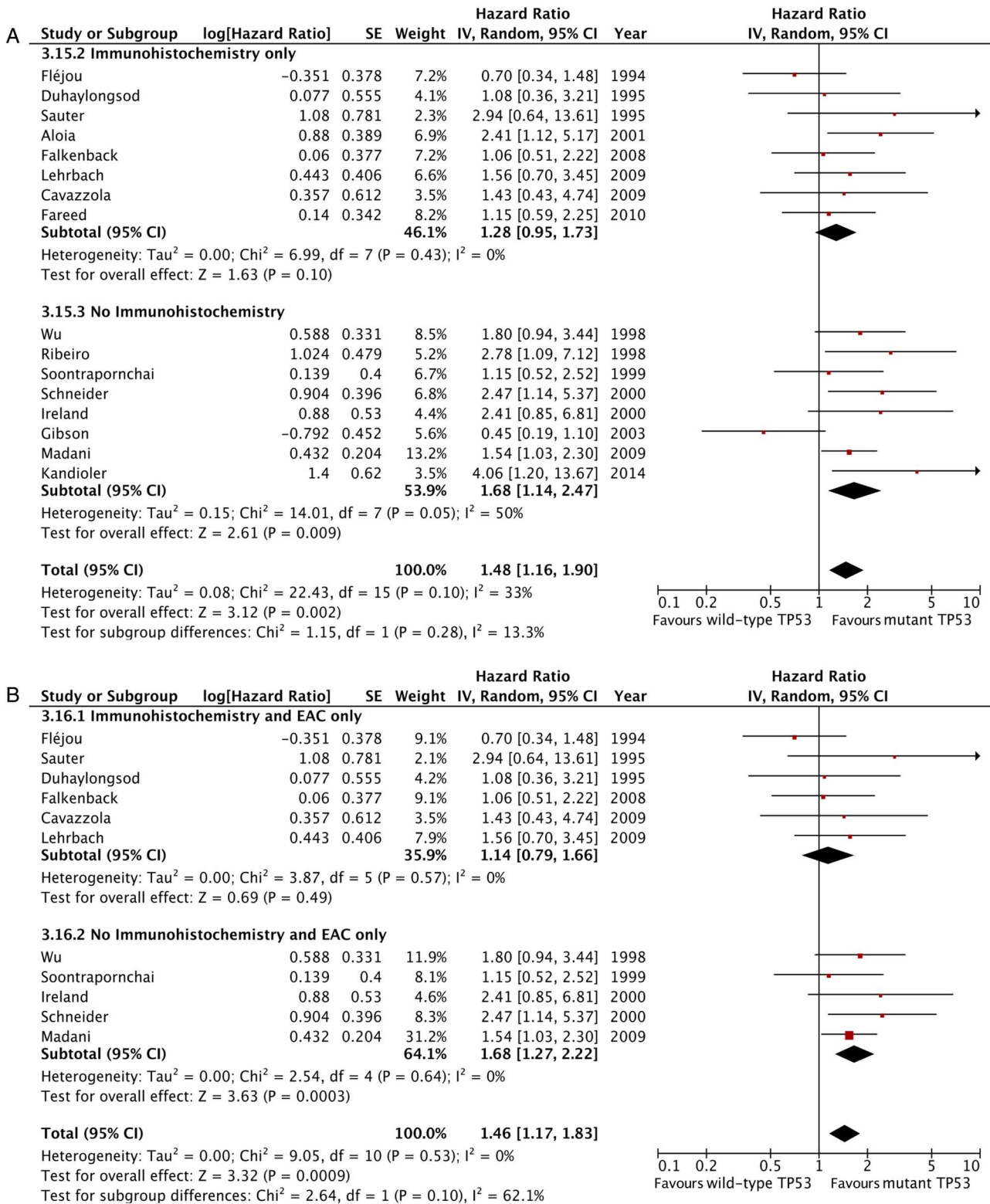
This study is timely because recent whole-genome sequencing studies have shown a high mutation rate of TP53 in OAC.<sup>19, 20</sup>

With whole-genome sequencing technologies still not used in clinical practice for personalised cancer treatment, targeted genomic approaches remain a valid area of investigation.<sup>58</sup>

One potential explanation for earlier conflicting results for the prognostic significance of TP53 status in OAC is the use of different and potentially less accurate assay methods for TP53 mutation detection. Our study identified considerable variability in IHC methods across the included studies, such as the use of different antibodies, antibody dilutions and variable scoring systems for immunopositivity. Further, none of the included studies used loss of p53 expression as a method for interpreting



**Figure 3** Forest plot of the effect of tumour protein 53 (TP53) on survival stratified by tumour histology included in studies.

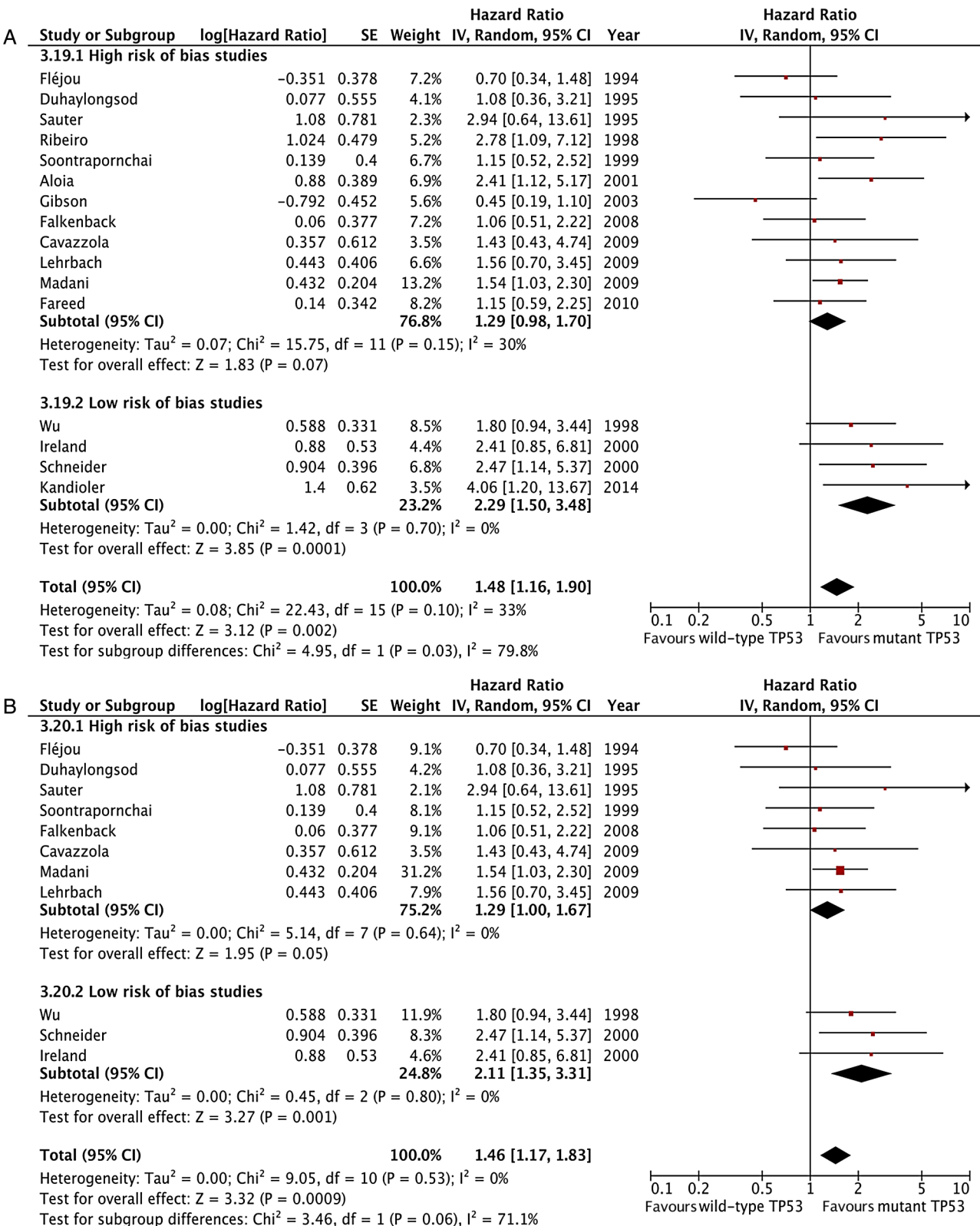


**Figure 4** Forest plot of the effect of tumour protein 53 (TP53) on patient survival stratified by TP53 analysis methodology, including all studies (A) and only those studies with pure oesophageal adenocarcinoma cohorts (B).

the presence of TP53 gene mutations.<sup>22 23</sup> These technical variations, combined with the knowledge that not all TP53 mutations lead to accumulation of mutant protein, and that wild-type p53 protein can be overexpressed, leading to either false negative or false positive staining results,<sup>59</sup> may explain why IHC has been regarded as a less adequate analysis method in other cancers.<sup>22</sup> In our subgroup analysis by assay type, the

prognostic effect of TP53 appeared to be smaller in studies that used IHC versus other methods, particularly for mixed histology populations; however, a test for interaction was not statistically significant. We were also not able to demonstrate that the use of IHC contributed to interstudy heterogeneity in our meta-regression analysis. Nevertheless, with increased knowledge about TP53 mutation variants<sup>60</sup> and more standardised





**Figure 5** Forest plot of the effect of tumour protein 53 (TP53) on survival stratified by risk of bias assessment including, all studies (A) and only including studies with pure oesophageal adenocarcinoma cohorts (B).

and economical targeted gene sequencing technologies available,<sup>61</sup> our results support the validity of using direct TP53 mutation status as a prognostic biomarker in OAC and raise the possibility that this provides improved prognostic classification than IHC consistent with findings in other cancers.<sup>22</sup>

Recent genomic studies have found that TP53 gene mutations remain the most common genetic alteration in both OAC<sup>19, 20</sup> and its precursor lesion BO with dysplasia,<sup>21</sup> with wild-type TP53 estimated to be present in only approximately 30% of OAC tumours. These findings suggest that the mutation

frequency of TP53 may be underestimated in all studies included here, even those performing TP53 gene sequencing. Most studies only sequenced exons 5–8, whereas whole-genome sequencing (which includes the sequencing of intronic and intergenic regions) has been shown to be more accurate at detecting mutations, even within exonic regions.<sup>22–62</sup> Further research using current sequencing technologies will be needed to assess whether the overall prognostic impact of TP53 mutation status is larger or smaller than the present study estimate, and to determine whether the prognostic effect of TP53 mutations varies by type of gene mutation. While our subgroup analysis of only TP53 gene sequencing studies showed a pronounced effect of TP53 mutations on OAC patient survival, this pooled analysis displayed substantial heterogeneity. This was largely caused by one study<sup>52</sup> that reported a non-significant survival advantage for TP53 mutations. Although this study included sequencing data from pretreatment biopsies of mainly patients with OAC, no information is provided on patients' pathological AJCC/UICC tumour stage, which to date remains the most accurate predictor of patient prognosis.<sup>55</sup> Study patients were enrolled from two experimental, prospective studies assessing the effect of two similar neoadjuvant CRTx regimens. Patients were only staged clinically and the HR estimation could not be adjusted for pathological tumour stage, because of which we assessed the estimate as being at high risk of bias. A sensitivity analysis performed to exclude this study suggests that gene sequencing-defined TP53 mutations are associated with an almost twofold mortality risk (HR 1.95, 95% CI 1.44 to 2.65).

The two main limitations of this study that may affect the validity of our findings are the quality of the primary studies and data limitations to explore potential confounders. First, more than half of the studies were assessed as high risk of bias based on criteria defined by Hayden *et al*,<sup>63</sup> an aspect also identified as a significant contributor to interstudy heterogeneity. Equally, the lack of adjustment of HR estimates for standard prognostic criteria, as recommended in current biomarker reporting guidelines,<sup>25</sup> was also a significant contributor to heterogeneity. These methodological flaws may lead to an underestimate of the actual effect size, as suggested by our subgroup analyses. Second, we were unable to conduct analyses to investigate the impact of potentially relevant factors (such as the inclusion of Siewert Type III or proximal gastric cancers or patient smoking status) because of the lack of data reported in the original publications. Other concerns may be how the inclusion of mixed patient populations, different staging systems and treatment regimens may impact the present findings. However, our meta-regression did not identify these factors as potential sources impacting overall effect estimates. Further, the key finding of TP53 negatively affecting patient prognosis persisted across all pooled and subgroup analyses, despite our comprehensive methodological approach, which accounted for sources of confounding, bias and interstudy heterogeneity. But most of the studies only included patients from surgical series, potentially limiting the generalisability of our findings. Despite almost 70% of the studies (n=11) having AJCC stage IV patients in their analysis, only nine of these studies also included such patients in their p53-stratified survival analysis. With the median percentage of stage IV patients in such studies being limited to 5.5%, the generalisability of our findings to patients with OAC with more advanced stages of disease that do not permit curative treatment is limited. Finally, despite conducting an extensive search strategy including searching conference abstracts and presenting a funnel plot that excludes major asymmetry, we cannot

eliminate publication bias as a possible explanation of our results.

Further studies are warranted to better estimate the size of the prognostic effect independent of tumour, node, metastasis staging. Given the substantial amount of heterogeneity identified, adherence to REMARK guidelines<sup>25</sup> and adjustment of the survival analysis for known prognostic factors in future studies is recommended. Further, preregistration of such prognostic studies is important to help avoid the issues of reporting and publication bias.<sup>64</sup> Using assumptions based on the findings of the present meta-analysis and a mutation frequency of 70%, we estimate a minimum of 433 patients with OAC (303 TP53 mutated and 130 TP53 wild-type) would be required to determine the effect of TP53 mutations on patient overall survival.

One approach to collect high-quality data is to include TP53 mutation analysis using targeted gene sequencing in the baseline analysis of trials of OAC therapies. If validated, TP53 analysis could be used to stratify patients in future trials. Stratifying patients based on TP53 mutation status may also have a role in clinical practice to guide treatment selection. For example, data suggest that TP53 mutation status may predict response to standard chemotherapeutic regimens such as fluorouracil or cisplatin,<sup>65</sup> which has also recently been demonstrated in OAC.<sup>66–68</sup> A prospective randomised trial in oesophageal cancer, aiming at determining this predictive effect of TP53 gene mutations (p53-Adjusted Neoadjuvant Chemotherapy for Potentially Resectable Esophageal Cancer; <http://www.clinicaltrials.gov> identifier NCT00525200; <http://www.p53.at>) has recently completed recruitment. Moreover, multiple therapeutic options directly targeting the TP53 gene are either currently in clinical trials or are already clinically available.<sup>14 18 59</sup> For example, the first-in-class mutant p53 reactivator APR-246 has recently been shown to have significant antitumourigenic activity in OAC and synergises with DNA damaging agents such as cisplatin and 5-fluorouracil.<sup>69</sup> As recent next-generation sequencing studies have demonstrated a high frequency of TP53 mutations in OAC of 70%,<sup>19–21</sup> it seems likely that a TP53-directed therapeutic approach would be worthwhile for patients with this highly fatal cancer.

In summary, OAC remains one of the few GI malignancies for which molecular information is still not used to guide patient management. This study suggests that TP53 gene mutations have a clinically important negative prognostic impact on patients with OAC, which is relevant in light of recent genomic findings highlighting a central role of this gene in OAC pathogenesis and drugs currently in development and testing that directly target this gene. High-quality studies with large patient cohorts using modern sequencing technologies for TP53 mutation analysis are needed to confirm the independent prognostic effect of this frequent gene mutation.

#### Author affiliations

<sup>1</sup>Gastroesophageal Cancer Program, St Vincent's Centre for Applied Medical Research University of New South Wales, Sydney, New South Wales, Australia

<sup>2</sup>NHMRC Clinical Trials Centre University of Sydney, Sydney, New South Wales, Australia

<sup>3</sup>Department of Epidemiology and Medical Statistics, School of Medicine, University of Notre Dame, Sydney, New South Wales, Australia

<sup>4</sup>Department of Surgery, Lund University Hospital (Skåne University Hospital) and Lund University, Lund, Sweden

<sup>5</sup>Cancer Biology and Surgical Oncology Research Laboratory, Peter MacCallum Cancer Centre, Melbourne, Victoria, Australia

<sup>6</sup>Sir Peter MacCallum Department of Oncology, University of Melbourne, Melbourne, Victoria, Australia

<sup>7</sup>The Whiteley-Martin Research Centre, Discipline of Surgery, The University of Sydney, Sydney, New South Wales, Australia

<sup>8</sup>Department of Surgery, School of Medicine, University of Notre Dame, Sydney, New South Wales, Australia

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## **SUPPLEMENTARY DATA**

## **SUPPLEMENTARY FILE 1**

### ***Summary Findings of IARC TP53 Database Analysis***

The most current R17 version of the IARC TP53 database contains three studies<sup>42, 51, 66</sup> that report on the prognostic effect of TP53 mutations in esophageal adenocarcinoma cohorts, all of which are included in our meta-analysis.

In 345 EACs compiled in the IARC TP53 database, the most frequently occurring mutations were G:C to A:T transitions at CpG sites (43.5% of tumors) in exons 5, 7, 8 (Supplementary Figure 1A) with a mean mutation frequency in any single nucleotide at this site of 1.27 (SD 0.03). The most frequent effect of this type of mutation was missense mutations (found in 78% of p53 mutations; Supplementary Figure 1B).

Of all the tumors included in the database, 245 had information on their immunohistochemistry staining pattern. Missense mutations most frequently caused positive immuno-staining and occurred most commonly within the L2/L3, L1/S/H2 and NDBL/beta-sheet protein domains (Supplementary Figure 1C and 1D). However, approximately 27% of TP53 mutant tumors showed negative immuno-staining patterns (false negatives), as these are frequently deletion mutations (Supplementary Figure 2).

## **SUPPLEMENTARY FIGURE LEGENDS**

**Supplementary Figure 1.** Analysis of EAC patients (n = 345) in the IARC TP53 mutation database. Supplementary Figure 1A depicts the frequency of types of TP53 gene mutations as well as their genetic location. Supplementary Figure 1B shows the resulting effects of these mutations on protein isotypes. Supplementary Figure 1C shows the corresponding IHC staining patterns of the type of TP53 gene mutations, where 1D shows how the TP53 mutation effect affects IHC staining patterns (EAC n = 245).

**Supplementary Figure 2.** Analysis of IARC TP53 database to determine frequency of interpretations of immunohistochemistry staining patterns in the presence of TP53 gene mutations.

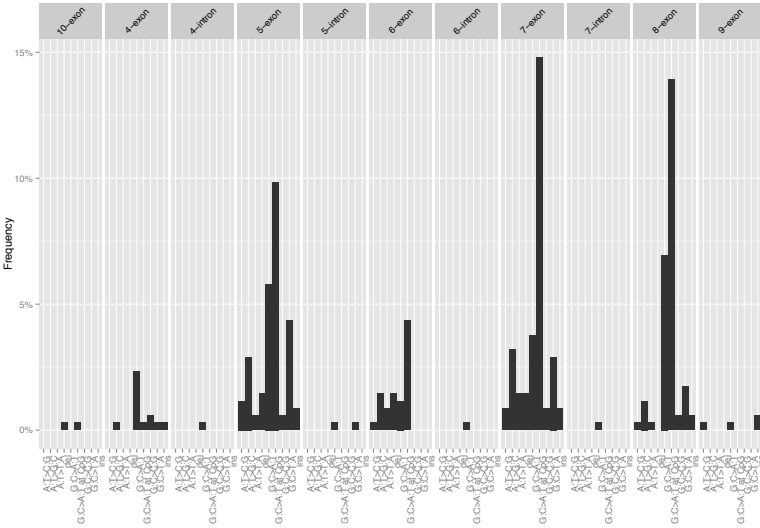
**Supplementary Figure 3.** Funnel plot of all studies included in the present meta-analysis for assessment of possible publication bias.

**Supplementary Figure 4.** Forest plot of the effect of TP53 on survival stratified by histology and adjustment for standard prognostic variables, all studies.

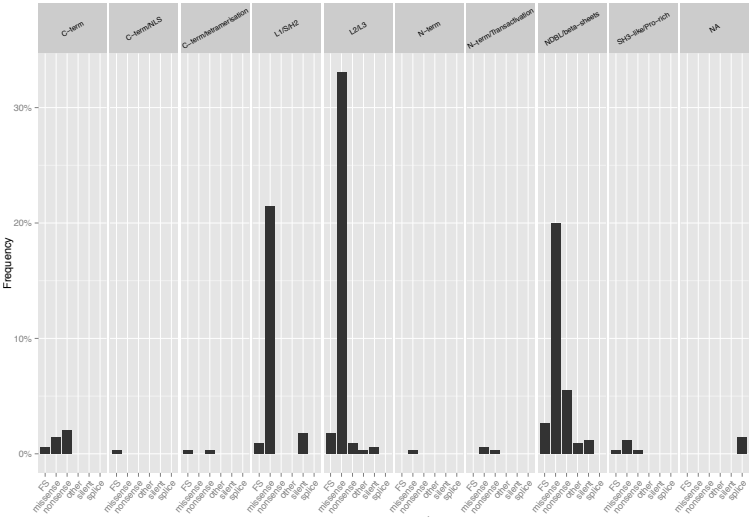
**Supplementary Figure 5.** Forest plot of effect of TP53 on survival only including studies performing TP53 gene sequencing before (supplementary figure 5A) and after sensitivity analysis (removal of Gibson et al.; 5B). Supplementary figure 5C depicts the forest plot of studies with pure EAC cohorts that performed TP53 gene sequencing.

**Supplementary Figure 6.** Forest plot of meta-regression analysis of study factors associated inter-study heterogeneity. Depicted are the effect estimates (solid squares) of change in log HR and corresponding 95% confidence interval (95%CI, solid line). Total (solid diamond) represents the overall effect estimate (log HR) of mutant TP53 and corresponding 95%CI as calculated from all 16 included studies.

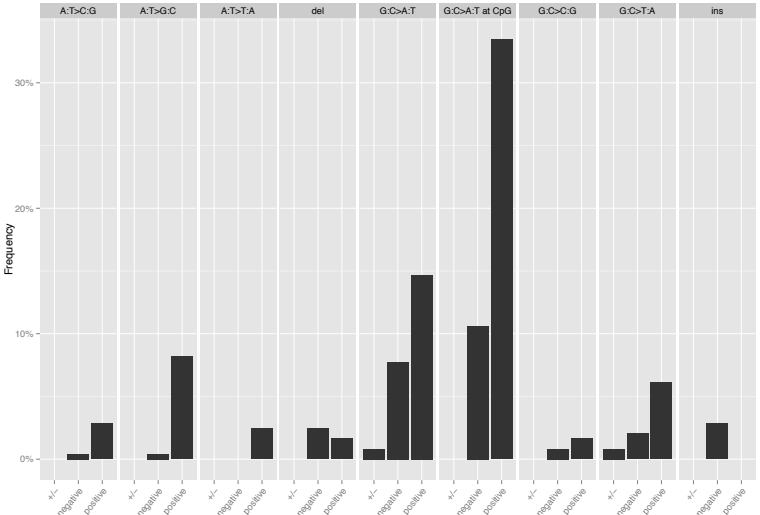
# Supplementary Figure 1.



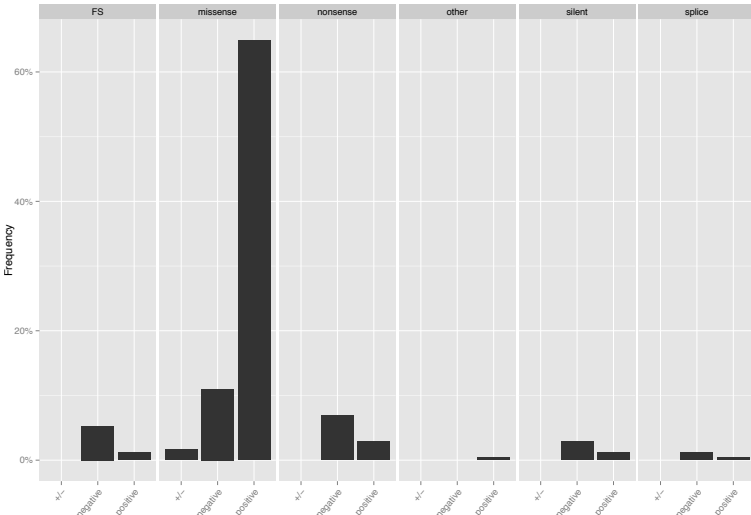
**A** TP53 Mutations & Gene Location



**B** TP53 Mutation Effects and Resulting p53 Protein Isoforms



**C** TP53 Mutation Types & Immunohistochemistry

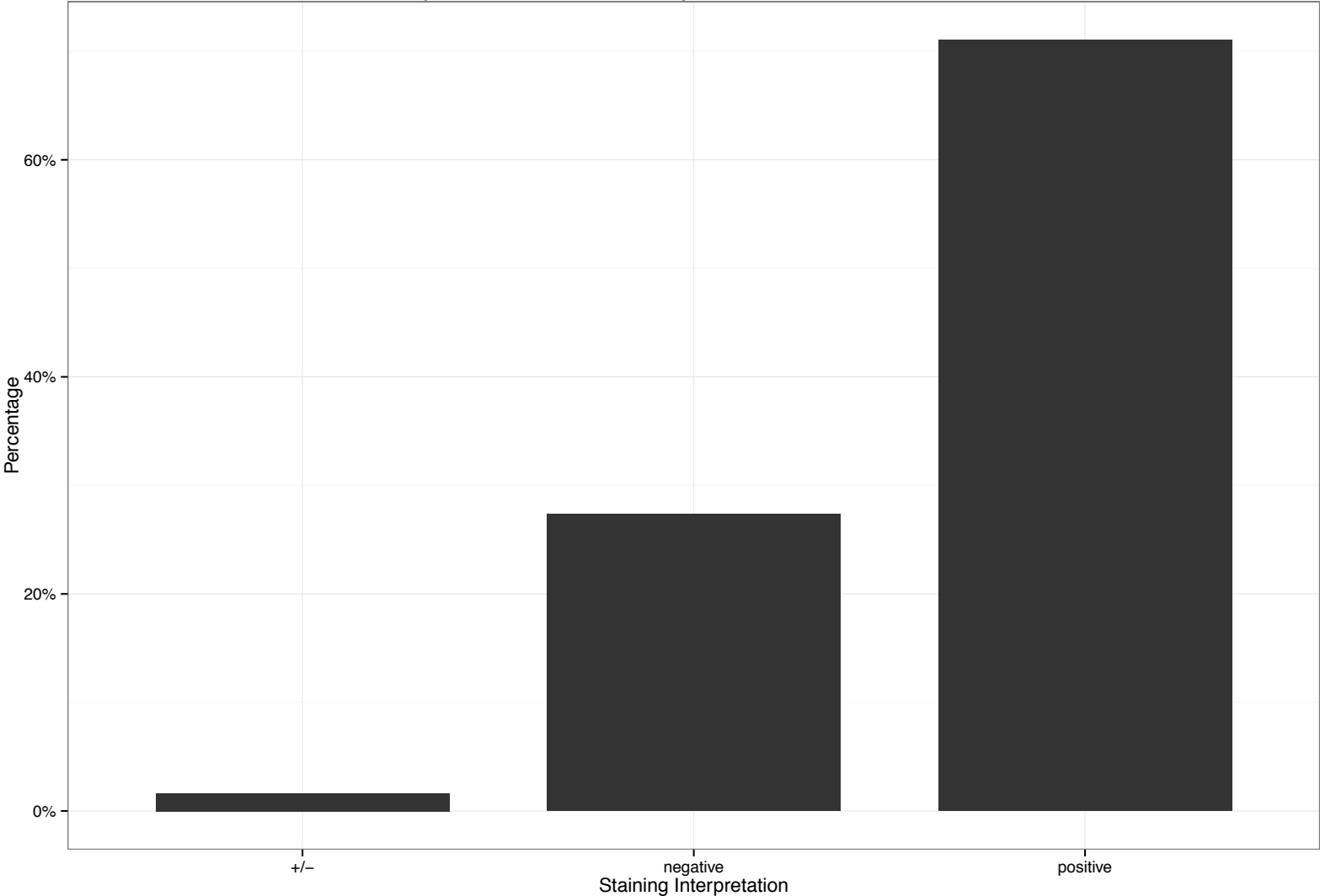


**D** TP53 Mutation Effects & Immunohistochemistry

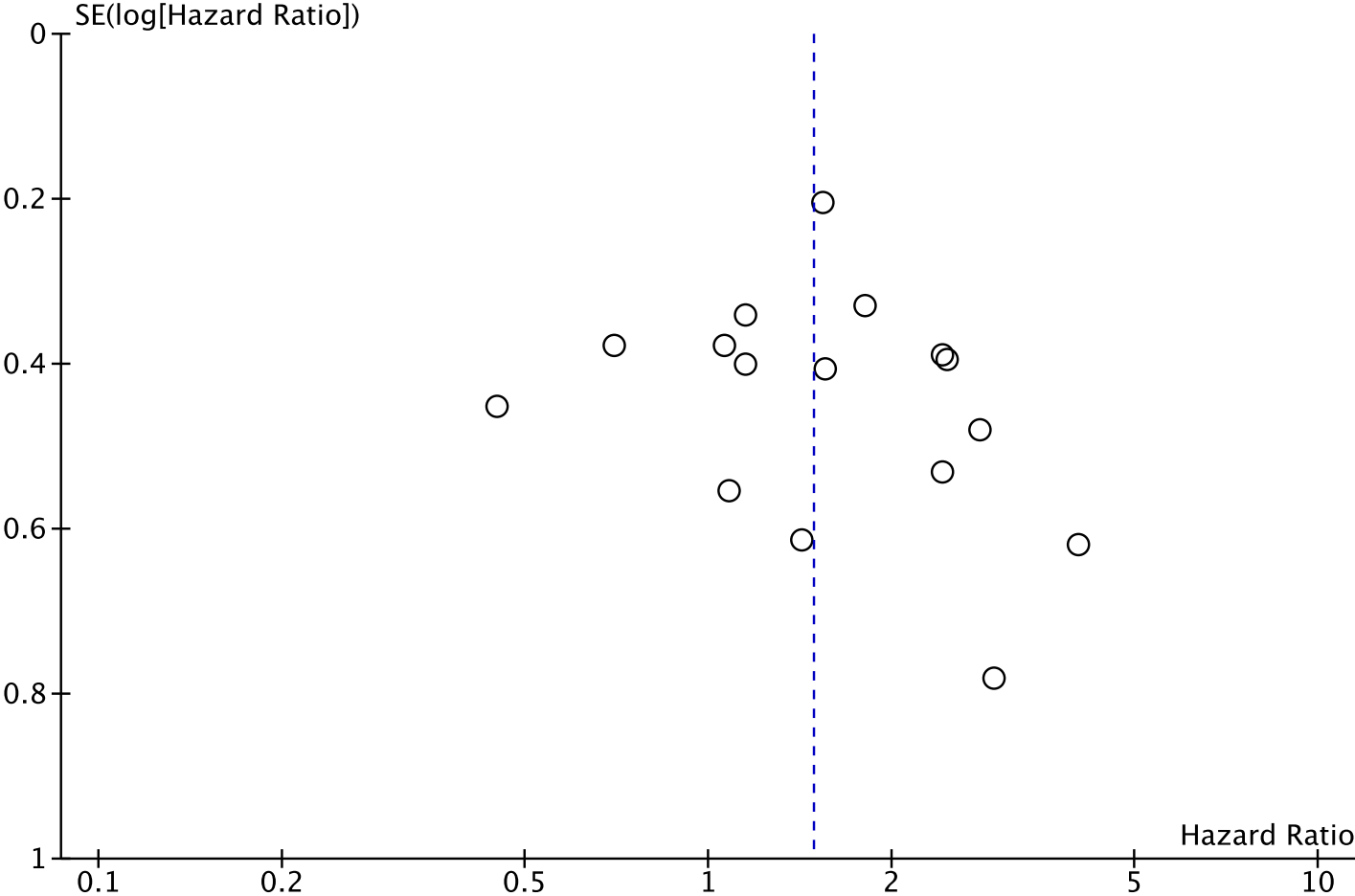


**Supplementary Figure 2.**

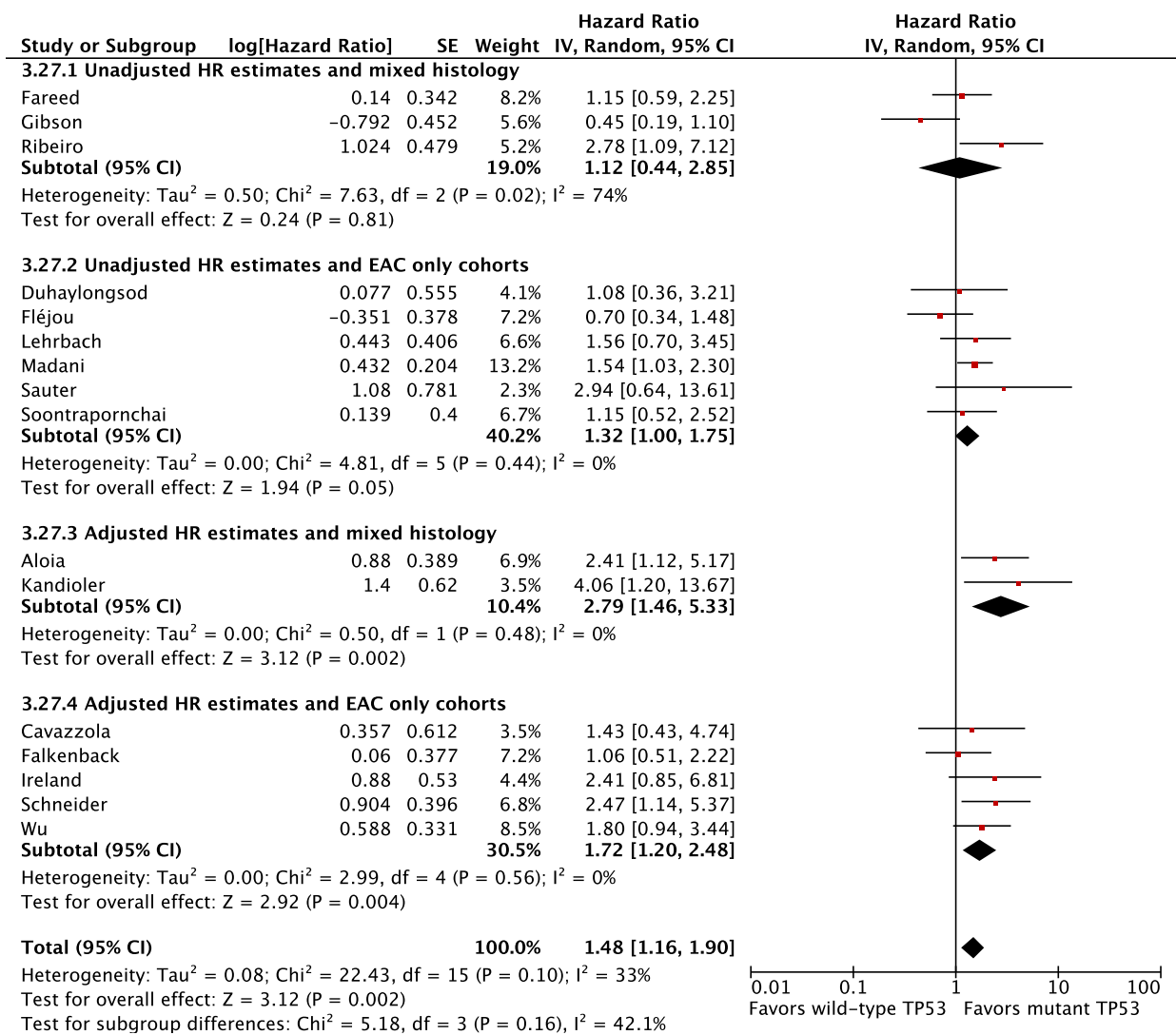
p53 immunohistochemistry for TP53 mutations in EAC



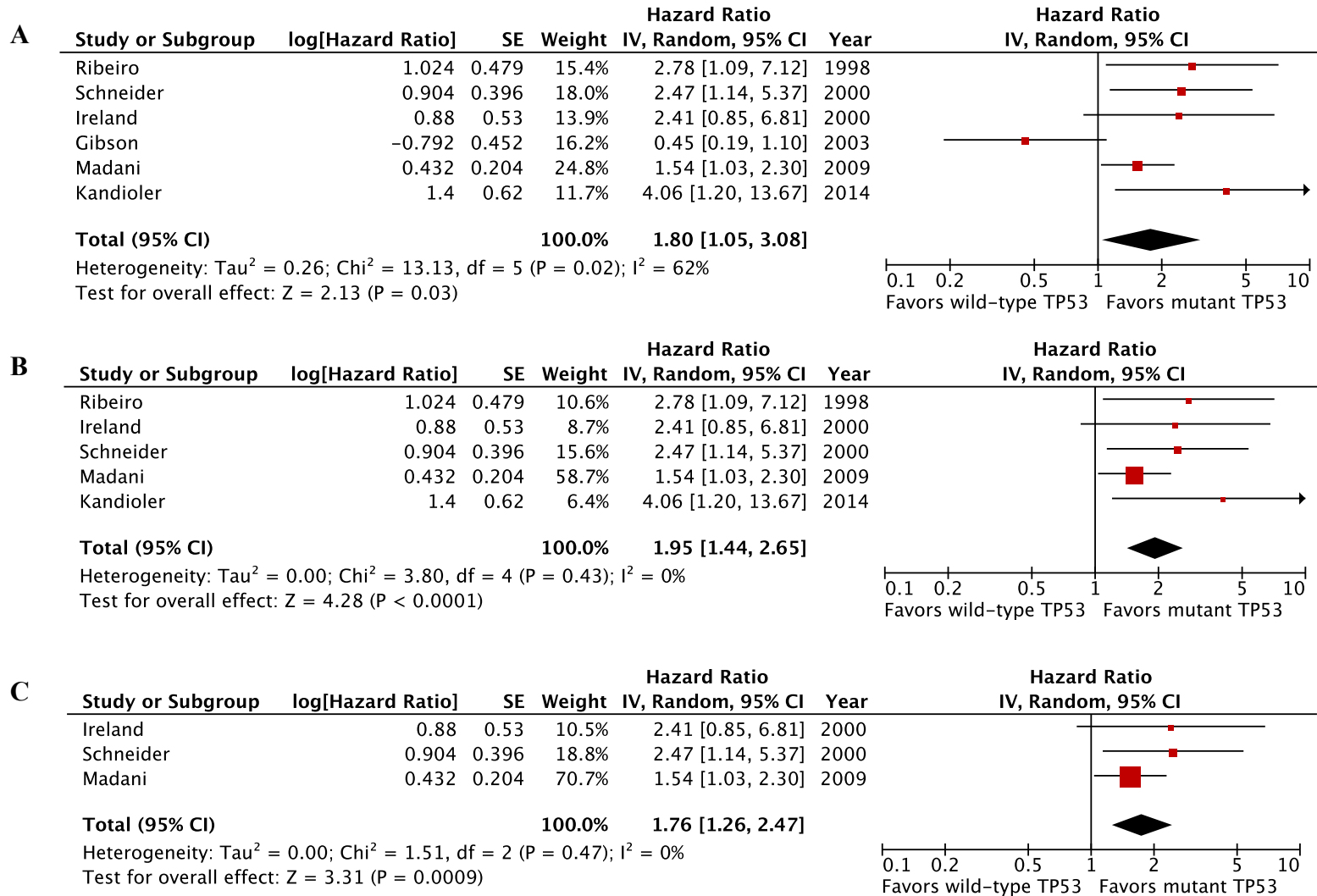
Supplementary Figure 3.



### Supplementary Figure 4.

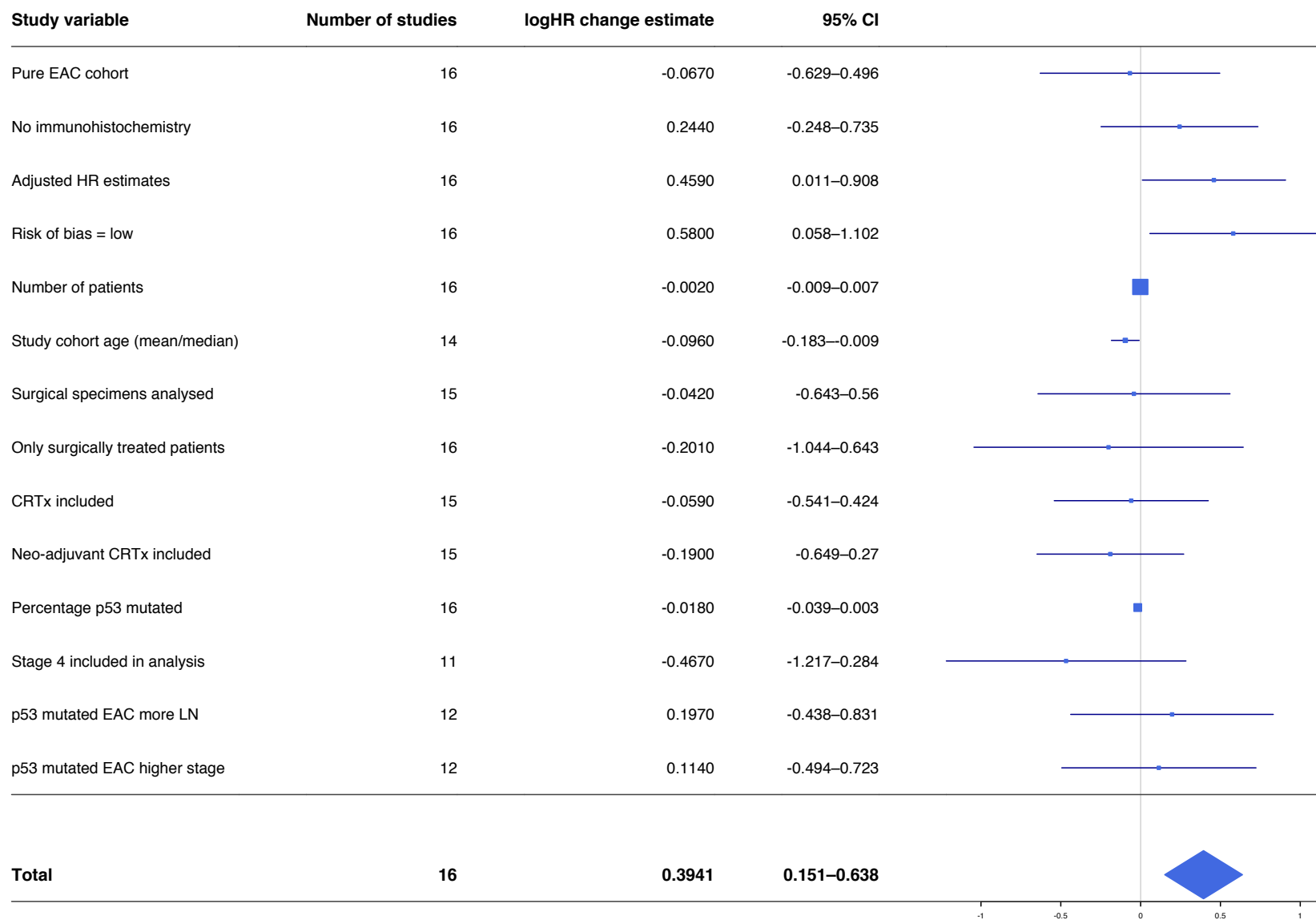


### Supplementary Figure 5.





### Supplementary Figure 6.



**Supplementary Table 1. Clinicopathological and survival data of all studies included in final meta-analysis.**

Reference	N	T x / T i s	T1	T1a	T1b	T2	T3	T4	N0	N+	N1	N2	Mx	M1	G1	G2	G3	Stage 0	Stage I	Stage II	Stage III	Stage IV	TNM Stage Edition	R0	R1	R2	RX	Median Survival Total (months)	Median Survival TP53 "mutated"	Median Survival TP53 "wild- type"
Fléjou <sup>38</sup>	62	-	20	8	12	7	35	-	30	32	32	-	-	-	25	20	17	-	19	14	23	6	-	-	-	-	-	28.0	15	15
Duhaylong sod <sup>39</sup>	42	-	-	-	-	-	-	-	28	14	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	19.8	18.2
Sauter <sup>47</sup>	24	-	-	-	-	-	-	-	8	8	-	-	-	-	15	-	8	-	-	-	-	-	-	-	-	-	-	-	28.0	13.0
Wu <sup>40</sup>	92	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	11	24	42	15	4	-	-	-	-	16.3	10	23
Ribeiro <sup>49</sup>	42	7	8	-	-	8	12	-	19	18	18	-	-	1	4	15	13	7	4	17	8	1	4	-	-	-	-	-	21.6	40.2
Soontrapor nchai <sup>48</sup>	135	-	-	-	-	-	-	-	20	34	-	-	-	21	5	34	33	-	-	-	-	-	5	-	-	-	-	-	12.0	14.0
Schneider <sup>42</sup>	59	-	-	-	-	-	-	-	-	-	-	-	-	-	4	19	36	0	22	18	12	3	4	49	4	2	4	-	-	-
Ireland <sup>41</sup>	37	-	5	3	2	7	19	-	7	24	10	14	-	2	4	13	14	-	-	-	-	-	-	-	-	-	-	10	28	
Aloia <sup>50</sup>	61	-	31	-	-	14	16	-	61	-	-	-	-	-	-	-	-	-	31	30	0	0	5	61	0	0	0	38.3	18.0	49.0
Gibson <sup>51</sup>	54	-	-	-	-	-	-	-	-	-	-	-	-	-	6	33	15	-	-	-	-	-	-	-	-	-	-	-	-	20.7
Falkenbac k <sup>43</sup>	54	4	16	-	-	7	32	-	36	23	23	-	-	1	-	-	-	4	16	17	21	1	6	-	-	-	41.7	41.7	39.8	
Madani <sup>44</sup>	142	-	35 (T1 + T2)	-	-	-	107 (T2 + T3)	-	55	87	87	-	-	0	41	37	64	0	12	49	76	5	-	119	17	6	-	20.0	16	25
Cavazzola <sup>46</sup>	46	-	6	-	-	6	13	13	18	20	20	-	1	3	6	12	20	-	5	9	20	4	-	38	0	0	-	70.4	58.1	63.2
Lehrbach <sup>45</sup>	75	-	4	-	-	27	44	0	21	54	54	-	-	5	-	-	-	-	14	15	30	16	6	-	-	-	-	21.5	29.5	
Fareed <sup>52</sup>	245	2	18	-	-	72	139	14	62	183	-	-	-	2	-	-	-	-	-	-	-	-	-	-	-	-	-	26.7	53.2	
Kandioler <sup>3</sup>	36	3	5	-	-	7	13	8	10	17	17	-	-	3	5	13	8	-	-	-	-	-	-	24	3	-	-	13.9	8.6	26.2

<b>Supplementary Table 2. Subgroup analyses</b>					
<b>Subgroup</b>	<b>Number of studies</b>	<b>Number of patients</b>	<b>Pooled HR (95% CI)</b>	<b><i>p</i>-value</b>	<b>Heterogeneity <i>I</i><sup>2</sup> statistic (<i>p</i>-value)</b>
<b>Assay and histology type</b>					
Immunohistochemistry only	8	417	1.28 (0.95 – 1.73)	0.10	0% (0.43)
Immunohistochemistry only and pure EAC cohorts	6	290	1.14 (0.79 – 1.66)	0.49	0% (0.57)
No immunohistochemistry	8	471	1.68 (1.14 – 2.47)	0.009	50% (0.05)
No immunohistochemistry after sensitivity analysis	7	425	1.82 (1.40 – 2.36)	<0.0001	0% (0.50)
No immunohistochemistry and pure EAC cohorts	5	354	1.68 (1.27 – 2.22)	0.0003	0% (0.64)
Sequencing only studies	6	330	1.80 (1.05 – 3.08)	0.03	62% (0.02)
Sequencing only studies after sensitivity analysis	5	284	1.95 (1.44 – 2.65)	<0.0001	0% (0.43)
Sequencing only studies and pure EAC cohorts	3	213	1.76 (1.26 – 2.47)	0.0009	0% (0.47)
<b>Adjustment for tumor stage</b>					
Studies including HRs adjusting for standard prognostic variables	7	327	1.94 (1.41 – 2.66)	<0.0001	0% (0.53)
Studies including HRs adjusting for standard prognostic variables and pure EAC only cohorts	5	258	1.72 (1.20 – 2.48)	0.004	0% (0.56)
Studies with unadjusted HRs for standard prognostic variables	9	533	1.22 (0.88 – 1.70)	0.24	38% (0.12)
Studies with unadjusted HRs for standard prognostic variables, but pure EAC cohorts	6	386	1.32 (1.00 – 1.75)	0.05	0% (0.44)
<b>Risk of bias and tumor type</b>					
Low risk of bias	4	197	2.29 (1.50 – 3.48)	0.0001	0% (0.70)
Low risk of bias and EAC only cohorts	3	161	2.11 (1.35 – 3.31)	0.001	0% (0.80)
High and unclear risk of bias	12	691	1.29 (0.98 – 1.70)	0.07	30% (0.15)

High risk of bias <i>but</i> pure EAC cohort	8	488	1.29 (1.00 – 1.67)	0.05	0% (0.64)
<i>Table 3 continued.</i>					
<b>Subgroup</b>	<b>Number of studies</b>	<b>Number of patients</b>	<b>Pooled HR (95% CI)</b>	<b><i>p</i>-value</b>	<b>Heterogeneity <i>I</i><sup>2</sup> statistic (<i>p</i>-value)</b>
<b>Other exploratory subgroups of interest</b>					
Only chemo-radiotherapy naïve patients (regardless of risk of bias)	6	397	1.60 (1.21 – 2.11)	0.0009	0% (0.71)
Only chemo-radiotherapy naïve patients (regardless of risk of bias) <i>and</i> pure EAC only cohorts	5	336	1.50 (1.11 – 2.02)	0.0008	0% (0.80)
Only studies with no neo-adjuvant chemo-radiotherapy (regardless of risk of bias)	7	446	1.68 (1.29 – 2.18)	0.0001	0% (0.67)
Only studies with no neo-adjuvant chemo-radiotherapy <i>and</i> pure EAC cohorts (regardless of risk of bias)	6	385	1.60 (1.21 – 2.11)	0.0009	0% (0.69)